

PATENT  
Attorney Docket No. 4609  
M&W No. APM/039-00



BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

In re Application of: **HIROJI HANAWA, YAN YE, KENNETH COLLINS, KARTIK RAMASWAMY, ANDREW NGUYEN, TSUTOMU TANAKA**

Entitled: **EXTERNALLY EXCITED TORROIDAL PLASMA SOURCE**

Attorney Docket No. 4609  
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Sir:

Enclosed herewith for filing is a patent application entitled EXTERNALLY EXCITED TO RROIDAL PLASMA SOURCE including 40 pages of specification, 34 claims and abstract, and 39 sheets of drawings (Figures 1-43). This application is being filed missing parts and specifically without a declaration by the inventors and without a filing fee. The application is being filed in the names of the inventors, Hiroji Hanawa, Yan Ye, Kenneth Collins, Kartik Ramaswamy, Andrew Nguyen, and Tsutomu Tanaka. Please address all correspondence to:

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Dated: August 11, 2000

Respectfully submitted,

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EXPRESS MAIL CERTIFICATE UNDER 37 CFR 1.10

Date: August 11, 2000

Label No.: EL703725793US

jc873 U.S. PTO  
09/638075  
08/11/00

I hereby certify that, on the date indicated above I deposited the attached Utility Patent Application Transmittal and every paper referred to therein with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington DC 20231 by "Express Mail Post Office to Addressee" service.

Shelly S. Hart  
Name (Print)

Shelly Hart  
Signature

09638075-081100

08/11/00

jc904 U.S.

Please type a plus sign (+) inside this box → ☒Approved for use through 09/30/2000. OMB 0651-0032  
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PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 4609

First Inventor or Application Identifier Hiroji Hanawa, et al.

Title EXTERNALLY EXCITED TORROIDAL PLASMA SOURCE

Express Mail Label No. EL703725793US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2. ☒ Specification (Total Pages 40)  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 39)

4. Oath or Declaration (Total Pages)

a. ☐ Newly executed (original or copy)b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)i. ☐ **DELETION OF INVENTOR(S)**Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202315. ☐ Microfiche Computer Program (Appendix)6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**7. ☐ Assignment Papers (cover sheet & document(s))8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of  
(when there is an assignee) ☐ Attorney9. ☐ English Translation Document (if applicable)10. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 ☐ Citations11. ☐ Preliminary Amendment12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)13. ☐ \* Small Entity ☐ Statement filed in prior application,  
Statement(s) ☐ Status still proper and desired  
(PTO/SB/09-12)14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)15. ☒ Other: Express Mail Certificate  
under 37 CFR 1.10,  
attached hereto

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Signature	<i>Robert M. Wallace</i>	Date	08/11/00

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